

+

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/802,220     |
| Filing Date            | March 17, 2004 |
| First Named Inventor   | Uemoto         |
| Group Art Unit         | 1628           |
| Examiner Name          | Pagonakis, A.  |
| Attorney Docket Number | 227833         |

|       |   |    |   |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

[illegible]

| FOREIGN PATENT DOCUMENTS |             |                         |                                 |              |                               |                        |               |
|--------------------------|-------------|-------------------------|---------------------------------|--------------|-------------------------------|------------------------|---------------|
| Examiner<br>Initials     | Doc.<br>No. | Foreign Patent Document |                                 |              | Name of Patentee or Applicant | Date of<br>Publication | Translation * |
|                          |             | Office                  | Application or<br>Patent Number | Kind<br>Code |                               |                        |               |
| /A.P./                   | G C         | WO                      | 2003/000226                     | A2           | Pfizer Products Inc.          | Jan. 3, 2003           |               |
| /A.P./                   | G D         | WO                      | 2003/000238                     | A1           | Pfizer Products Inc.          | Jan. 3, 2003           |               |
| /A.P./                   | G E         | WO                      | 2003/063831                     | A2           | Pfizer Products Inc.          | Aug. 7, 2003           |               |
| /A.P./                   | G F         | WO                      | 2003/063868                     | A1           | Pfizer Products Inc.          | Aug. 7, 2003           |               |
|                          |             |                         |                                 |              |                               |                        |               |

| OTHER - NON PATENT LITERATURE DOCUMENTS |          |                                                                                                                                                                                                                                                                  |               |
|-----------------------------------------|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Examiner Initials                       | Doc. No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published. | Translation * |
| /A.P./                                  | G G      | BASF Aktiengesellschaft Kollidon® Product Brochure, "Polyvinylpyrrolidone for the pharmaceutical industry," 6 <sup>th</sup> Corrected Edition (April 2002)                                                                                                       |               |
|                                         |          |                                                                                                                                                                                                                                                                  |               |
|                                         |          |                                                                                                                                                                                                                                                                  |               |
|                                         |          |                                                                                                                                                                                                                                                                  |               |

10/11/2011

\* If the reference is not in English, then at least one of the following is provided: (a) an English translation in whole or in part or (b) a concise statement of relevance in the form of, for example, an English language counterpart, an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office.